



979

PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/784,378
	Filing Date	02/23/2004
	First Named Inventor	George Goicoechea
	Art Unit	3731
	Examiner Name	Vy Q. Bui
Total Number of Pages in This Submission	Attorney Docket No.	BSI-210US9

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08a/08b (13 pages); 24 cited references; BPAI Decision, Interference No. 104,192; Memorandum Opinion and Final Judgement; post card receipt
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestia		
Signature			
Printed Name	Joshua L. Cohen		
Date	02/07/2007	Registration No.	38,040

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			Date
Typed or Printed Name	Denise Morgan	Date	02/07/2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/784,378  
Applicant: George Goicoechea et al.  
Filed: February 23, 2004  
Title: BIFURCATED ENDOLUMINAL PROSTHESIS  
TC/A.U.: 3731  
Examiner: Vy Q. Bui

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith.

Pursuant to 37 CFR 1.98(a)(2), a copy of the following references are enclosed:

CA 2,086,333  
CA 2,158,373  
CA 2 144 305 C  
EP 0 461 791  
EP 0 466 518  
EP 0 734 235  
GB 1205743  
GB 491202  
GB 2269104  
FR 1602513  
DE 93 19 267.3  
PM 1537  
WO 83/03752  
WO 92/06734  
WO 95/08966  
WO 95/16406

Notice of Opposition by Scimed Life Sciences, Inc. to European Patent No. 0 676 937 B

Opposition by William Cook Aps to European Patent No. 0 676 937 B

Verlag, "Interventional Radiology," pp. 692-699 (1990)

Yoshioka et al., "Self-Expanding Endovascular Graft: An Experimental Study in Dogs,"  
AJR 15: pp. 673-676 (1988)

U.S. App. 08/051,728

Official Action in Canadian Application No. 2,182,982, issued by the Canadian Intellectual Property Office on March 30, 2006

Office Action of Canadian Intellectual Property Office for Application No. 2,182,982 dated June 14, 2004

Notice of Reasons of Rejection of Japan Patent Office Application No. H07-521275 dated May 18, 2004

References FR 1602513, and DE 93 19 267.3 are not in the English language. An English language translation of FR 1602513 and DE 93 19 267.3 are attached to each of these references.

References CA 2,086,333, CA 2,158,373, and CA 2 144 305 C were cited by a foreign patent office in a counterpart foreign application. A copy of this English language Official Action, indicating the degree of relevance determined by the foreign patent office is submitted herewith.

The remaining foreign references and publications are not enclosed pursuant to 37 C.F.R. 1.98(d) because they are believed to have been previously submitted in an Information Disclosure Statement filed in U.S. Application No. 08/312,881, which is relied on for an earlier effective filing date in the present application under 35 U.S.C. 120.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, their submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

Table 1, below, identifies those references that are listed on the presently submitted PTO/SB/08a/08b, that have not been submitted previously in this application, and that have been applied by the USPTO or a foreign patent office in a related application (by virtue of a common priority claim).

Table 1.

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
3,357,432	12/12/1967	C.H. Sparks
3,657,744	04/25/1972	Ersek
4,140,126	02/20/1979	Choudhury
4,545,082	10/08/1985	Hood

4,562,596	01/07/1986	Kornberg
4,994,071	02/19/1991	MacGregor
5,064,435	11/12/1991	Porter
5,104,404	04/14/1992	Wolff
5,135,536	08/04/1992	Hillstead
5,304,200	04/19/1994	Spaulding
5,314,472	05/24/1994	Fontaine
5,354,308	10/11/1994	Simon et al.
5,354,309	10/11/1994	Schnepp-Pesch
5,360,443	11/01/1994	Barone et al.
5,364,354	11/15/1994	Walker et al.
5,366,504	11/22/1994	Anderson et al.
5,370,683	12/06/1994	Fontaine
5,387,235	02/07/1995	Chuter
5,389,106	02/14/1995	Tower
5,397,345	03/14/1995	Lazarus et al.
5,405,377	04/11/1995	Cragg
5,429,144	07/04/1995	Wilk
5,443,497	08/22/1995	Venbrux
5,464,449	11/07/1995	Ryan et al.
5,489,295	02/06/1996	Piplani et al.
5,507,769	04/16/1996	Marin et al.
5,562,724	10/08/1996	Vorwerk et al.
5,562,726	10/08/1996	Chuter
5,562,728	10/08/1996	Lazarus et al.
5,571,170	11/05/1996	Palmaz et al.
5,575,817	11/19/1996	Martin
5,639,278	06/17/1997	Dereume et al.
5,645,559	07/08/1997	Hachtman et al.
5,653,743	08/05/1997	Martin
5,683,448	11/04/1997	Cragg
5,725,572	03/10/1998	Lam
5,800,456	09/01/1998	Maeda et al.
5,824,039	10/20/1998	Piplani et al.
6,613,073	09/02/2003	White et al.
CA 2,086,333	04/25/1991	Schneider (USA) Inc.
CA 2,158,373	10/27/1994	Pharmacyclics, Inc.
CA 2 144 305 C	02/02/1995	Cook Incorporated
EP 0 466 518	01/15/1992	Endovascular Tech. Inc.

EP 0 480 667	04/15/1992	Cook Incorporated
EP 0 481 365	04/22/1992	Angiomed AG (DE)
EP 0 536 164	03/09/1994	Schneider Inc.
EP 0 540 290	04/14/1993	Advanced Cardiovascular Systems, Inc.
EP 0 556 850	08/25/1993	Endotech Ltd.
GB 2269104	02/02/1994	Taha R. Lazim
FR 2678508	01/08/1993	Celsa LG Societe Anonyme
DE 4 303 181	08/11/1994	Angiomed AG (DE)
WO 92/00043	01/09/1992	Schneider (USA) Inc.
WO 92/06734	04/30/1992	Ho Young Song
WO 93/13825	07/22/1993	Munehiro Maeda et al.

Table 2, below, identifies all references, including previously submitted references and references submitted herewith, that have been applied by the USPTO or a foreign patent office in an application related to the subject application (by virtue of a common priority claim). Thus, Table 2 includes among its identified references those previously listed in above Table 1.

Table 2.

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
3,357,432	12/12/1967	C.H. Sparks
3,657,744	04/25/1972	Ersek
4,140,126	02/20/1979	Choudhury
4,545,082	10/08/1985	Hood
4,562,596	01/07/1986	Kornberg
4,994,071	02/19/1991	MacGregor
5,064,435	11/12/1991	Porter
5,104,404	04/14/1992	Wolff
5,135,536	08/04/1992	Hillstead
5,304,200	04/19/1994	Spaulding
5,314,472	05/24/1994	Fontaine
5,354,308	10/11/1994	Simon et al.
5,354,309	10/11/1994	Schnepp-Pesch
5,360,443	11/01/1994	Barone
5,364,354	11/15/1994	Walker et al.
5,366,504	11/22/1994	Anderson et al.
5,370,683	12/06/1994	Fontaine
5,387,235	02/07/1995	Chuter

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
5,389,106	02/14/1995	Tower
5,397,345	03/14/1995	Lazarus et al.
5,405,377	04/11/1995	Cragg
5,429,144	07/04/1995	Wilk
5,443,497	08/22/1995	Venbrux
5,464,449	11/07/1995	Ryan et al.
5,489,295	02/06/1996	Piplani et al.
5,507,769	04/16/1996	Marin et al.
5,562,724	10/08/1996	Vorwerk et al.
5,562,726	10/08/1996	Chuter
5,562,728	10/08/1996	Lazarus et al.
5,571,170	11/05/1996	Palmaz et al.
5,575,817	11/19/1996	Martin
5,639,278	06/17/1997	Dereume et al.
5,645,559	07/08/1997	Hachtman et al.
5,653,743	08/05/1997	Martin
5,683,448	11/04/1997	Cragg
5,725,572	03/10/1998	Lam
5,800,456	09/01/1998	Maeda et al.
5,824,039	10/20/1998	Piplani et al.
6,613,073	09/02/2003	White et al.
CA 2,086,333	04/25/1991	Schneider (USA) Inc.
CA 2,158,373	10/27/1994	Pharmacyclics, Inc.
CA 2 144 305 C	02/02/1995	Cook Incorporated
EP 0 421 729	12/07/1995	Medtronic, Inc.
EP 0 423 916	04/24/1991	Cook, Inc.
EP 0 466 518	01/15/1992	Endovascular Tech. Inc.
EP 0 480 667	04/15/1992	Cook Incorporated
EP 0 481 365	06/22/1994	Schnepp-Pesch
EP 0 508 473	10/14/1992	Endovascular Tech, Inc.
EP 0 536 164	04/14/1993	Schneider (USA) Inc.
EP 0 539 237	4/28/1993	Celsa LG Societe Anonyme
EP 0 540 290	05/05/1993	Advanced Cardiovascular Systems, Inc.
EP 0 556 850	08/25/1993	Endotech, LTD.
GB 2 269 104	02/02/1994	Taha R. Lazim
FR 2678508	01/08/1993	Celsa LG Societe Anonyme

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
DE 4 303 181	08/11/1994	Angiomed AG(DE)
JP HO3-151983	06/28/1991	Medtronic Inc.
JP HO5-76554	03/30/1993	Endovascular Technologies, Inc.
JP HO5-305092	11/19/1993	Cook Inc.
JP HO6-23031	02/01/1994	Endovascular Technologies, Inc.
JP HO7-501476	02/16/1995	Schneider (USA) Inc.
WO 89/08433	09/21/1989	Harrison M. Lazarus
WO 92/00043	01/09/1992	Schneider (USA) Inc.
WO 92/06734	04/30/1992	Ho Young Song
WO 93/13825	07/22/1993	Munehiro Maeda et al.
WO 93/19703	10/14/1993	Schneider (USA) Inc.

Applicants would also like to direct the Examiner's attention to the related applications and patents listed in Table 3, below. In light of the proscription against double patenting, the contents of these applications and patents may be "material" to the Examiner's consideration.

Table 3.

<b>Application</b>	<b>Date Filed</b>	<b>Patent No. or Publication No.</b>	<b>Applicant(s) Name</b>
08/312,881	9/27/1994	pending	Goicoechea et al.
08/315,763	10/4/94	5,609,627	Goicoechea et al.
08/461,402	6/5/1995	pending	Cragg et al.
08/461,513	6/5/1995	5,716,365	Goicoechea et al.
08/461,514	6/5/1995	5,693,086	Goicoechea et al.
08/462,272	6/5/1995	5,776,180	Goicoechea et al.
08/463,981	6/5/1995	5,800,508	Goicoechea et al.
08/463,987	6/5/1995	pending	Goicoechea et al.
08/463,991	6/5/1995	5,718,724	Goicoechea et al.
08/465,653	6/5/1995	5,683,450	Goicoechea et al.
08/662,484	6/13/1996	5,916,263	Goicoechea et al.
08/960,282	10/29/1997	6,051,020	Goicoechea et al.
08/961,548	10/30/1997	5,938,696	Goicoechea et al.
09/020,749	2/9/1998	6,117,167	Goicoechea et al.
09/313,593	5/18/1999	6,302,906	Goicoechea et al.
09/317,102	5/21/1999	6,165,213	Goicoechea et al.

<b>Application</b>	<b>Date Filed</b>	<b>Patent No. or Publication No.</b>	<b>Applicant(s) Name</b>
09/387,696	8/31/1999	Pending	Goicoechea et al.
09/427,310	10/26/1999	Pending	Goicoechea et al.
09/977,826	10/15/2001	2002-0019659	Goicoechea et al.
10/616,274	7/9/2003	2004-0106979	Goicoechea et al.
10/682,483	10/9/2003	2004-0073287	Goicoechea et al.
10/692,886	10/24/2003	2004-0098086	Goicoechea et al.
10/703,659	11/7/2003	2004-0098115	Goicoechea et al.
10/784,378	2/23/04	2004-0167599	Goicoechea et al.

Finally, with respect to Applicants' claim of priority, Applicants would like to direct the Examiner's attention to the decision of the Board of Patent Appeals and Interferences (BPAI), dated July 27, 2001, in Interference No. 104,192 and the Memorandum Opinion and Final Judgment of the United States District Court for the District of Columbia dated March 31, 2006 (Civil Action No. 01 CV 2015), which is now under appeal. Copies of the BPAI decision, the Memorandum Opinion and the Final Judgment are attached.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Joshua L. Cohen, Reg. No. 38,040  
Stanley Weinberg, Reg. No. 25,276

Enclosures: PTO Forms SB/08a and SB/08b  
Transmittal Form  
24 References  
BPAI Decision, Interference No. 104,192  
Memorandum Opinion and Final Judgment  
U.S. District Court, District of Columbia,  
01 CV 2015



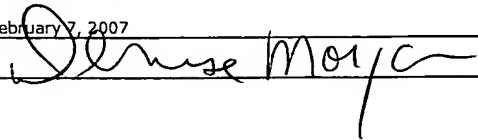
Dated: February 7, 2007

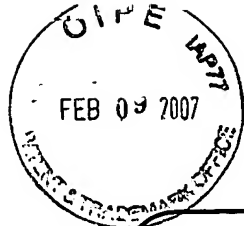
P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby authorized to  
charge payment to Deposit Account No. **18-0350** of any  
fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United  
States Postal Service as first class mail, with sufficient postage, in an  
envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450 on:

February 7, 2007

A handwritten signature in dark ink, appearing to read "Denise Moya", is written over a horizontal line. The signature is fluid and cursive.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-3,304,557	02/21/1967	S. Polansky	
		US-3,357,432	12/12/1967	C.H. Sparks	
		US-3,500,820	03/17/1970	T. H. O. Almen	
		US-3,657,744	04/25/1972	Ersek	
		US-3,805,301	04/23/1974	Liebig	
		US-3,868,956	03/04/1975	Alfidi et al.	
		US-3,878,565	04/22/1975	Sauvage	
		US-3,890,977	06/24/1975	Wilson	
		US-3,996,938	12/14/1976	Clark, III	
		US-4,130,904	12/26/1978	Whalen	
		US-4,140,126	02/20/1979	Choudhury	
		US-4,149,911	04/17/1979	Clabburn	
		US-4,202,349	05/13/1980	Jones	
		US-4,214,587	07/29/1980	Sakura, Jr.	
		US-4,306,318	12/22/1981	Mano et al.	
		US-4,425,908	01/17/1984	Simon	
		US-4,494,531	01/22/1985	Gianturco	
		US-4,503,569	03/12/1985	Dotter	
		US-4,512,338	04/23/1985	Balko et al.	
		US-4,530,113	07/23/1985	Matterson	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		CA 2,086,333	04/25/1991	Schneider (USA) Inc.		<input type="checkbox"/>
		CA 2,158,373	10/27/1994	Pharmacyclics, Inc.		<input type="checkbox"/>
		CA 2 144 305 C	02/02/1995	Cook Incorporated		<input type="checkbox"/>
		EP 0 145 166	06/19/1985	Raychem Corp.		
		EP 0 461 791	12/18/1991	Barone et al.		
		EP 0 464 755	01/08/1992	Nissho Corp.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,545,082	10/08/1985	Hood	
		US-4,553,545	11/19/1985	Maass et al.	
		US-4,560,374	12/24/1985	Hammerslag	
		US-4,562,596	01/07/1986	Kornberg	
		US-4,577,631	03/25/1986	Kreamer	
		US-4,580,568	04/08/1986	Glanturco	
		US-4,617,932	10/21/1986	Kornberg	
		US-4,649,922	03/17/1987	Wiktor	
		US-4,655,771	04/07/1987	Wallsten	
		US-4,665,906	05/19/1987	Jervls	
		US-4,665,918	05/19/1987	Garza et al.	
		US-4,681,110	07/21/1987	Wiktor	
		US-4,728,328	05/01/1988	Hughes et al.	
		US-4,729,766	03/08/1988	Bergentz et al.	
		US-4,732,152	03/22/1988	Wallsten et al.	
		US-4,733,665	03/29/1988	Palmaz	
		US-4,739,762	04/26/1988	Palmaz	
		US-4,762,128	08/09/1988	Rosenbluth	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 466 518	01/15/1992	Endovascular Tech. Inc.		<input type="checkbox"/>
		EP 0 480 667	04/15/1992	Cook Incorporated		<input type="checkbox"/>
		EP 0 481 365	04/22/1992	Angiomed AG (DE)		<input type="checkbox"/>
		EP 0 536 164	03/09/1994	Schneider Inc.		<input type="checkbox"/>
		EP 0 540 290	04/14/1993	Advanced Cardiovascular Systems, Inc.		<input type="checkbox"/>
		EP 0 551 179	07/14/1993	Expandable Grafts Partnership		<input type="checkbox"/>
		EP 0 556 850	08/25/1993	Endotech Ltd		<input type="checkbox"/>
		EP 0 579 523	01/19/1994	Celsa LG SA (FR)		<input type="checkbox"/>
		EP 0 621 015	10/26/1994	Schneider (Europe) AG		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

SHEET 3 of 13

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,768,507	09/06/1988	Fischell et al.	
		US-4,772,264	09/20/1988	Cragg	
		US-4,776,337	10/11/1988	Palmaz	
		US-4,787,899	11/29/1988	Lazarus	
		US-4,795,463	01/03/1989	Gerow	
		US-4,800,882	01/31/1989	Gianturco	
		US-4,820,298	04/11/1989	Leveen et al.	
		US-4,830,003	05/16/1989	Wolff et al.	
		US-4,856,516	08/15/1989	Hillstead	
		US-4,878,906	11/07/1989	Lindemann et al.	
		US-4,886,062	12/12/1989	Wiktor	
		US-4,886,065	12/12/1989	Collins, Jr.	
		US-4,913,141	04/03/1990	Hillstead	
		US-4,922,905	05/08/1990	Strecker	
		US-4,969,458	11/13/1990	Wiktor	
		US-4,969,890	11/13/1990	Sugita et al.	
		US-4,994,032	12/19/1991	Sugiyama et al.	
		US-4,994,071	02/19/1991	MacGregor	
		US-5,019,085	05/28/1991	Hillstead	
		US-5,019,090	05/28/1991	Pinchuk	
		US-5,035,706	07/30/1991	Giantureo et al.	
		US-5,037,427	08/06/1991	Harada et al.	
		US-5,041,126	08/20/1991	Gianturco	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 621 016	10/26/1994	C. R. Bard, Inc.		<input type="checkbox"/>
		EP 0 622 088	11/02/1994	Cook Incorporated		<input type="checkbox"/>
		EP 0 646 365	04/05/1995	Juan C. Parodi		<input type="checkbox"/>
		EP 0 656 197	06/07/1995	Kanji Inoue		<input type="checkbox"/>
		EP 0 657 147	06/14/1995	C. R. Bard, Inc.		<input type="checkbox"/>
		EP 0 662 307	07/12/1995	Advanced CardioVascular Systems, Inc.		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 4 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,047,050	09/10/1991	Apresani	
		US-5,057,092	10/15/1991	Webster, Jr.	
		US-5,064,435	11/12/1991	Porter	
		US-5,067,957	11/26/1991	Jervis	
		US-5,078,726	01/07/1992	Kreamer	
		US-5,078,736	01/07/1992	Behl	
		US-5,085,635	02/04/1992	Cragg	
		US-5,104,399	04/14/1992	Lazarus	
		US-5,104,404	04/14/1992	Wolff	
		US-5,123,917	06/23/1992	Lee	
		US-5,133,732	07/28/1992	Wiktor	
		US-5,135,536	08/04/1992	Hillstead	
		US-5,151,105	09/29/1992	Kwan-Gett	
		US-5,161,547	11/10/1992	Tower	
		US-5,163,958	11/17/1992	Pinchuk	
		US-5,183,085	02/02/1993	Timmermans	
		US-5,192,297	03/09/1993	Hull	
		US-5,201,901	04/13/1993	Harada et al.	
		US-5,207,695	05/04/1993	Trout	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 0 734 235	10/02/1996	William Cook Europe A/S		<input type="checkbox"/>
		GB 1205743	09/16/1970	Colin C. Didcott		<input type="checkbox"/>
		GB 1491202	11/09/1977	Reginald W. Harrison		<input type="checkbox"/>
		UK 2106190A	04/07/1983	Leuven Research & Development		<input type="checkbox"/>
		GB 2269104	02/02/1994	Taha R. Lazim		<input type="checkbox"/>
		FR 1602513	01/29/1971	National Research Development Corp.(GB)		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 5 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,236,446	08/17/1993	Dumon	
		US-5,275,622	01/04/1994	Lazarus	
		US-5,282,824	02/01/1994	Gianturco	
		US-5,290,305	03/01/1994	Inone	
		US-5,292,331	03/08/1994	Boneau	
		US-5,304,200	04/19/1994	Spaulding	
		US-5,306,294	04/26/1994	Winston et al.	
		US-5,314,472	05/24/1994	Fontaine	
		US-5,330,500	07/19/1994	Song	
		US-5,342,387	08/30/1994	Summers	
		US-5,354,308	10/11/1994	Simon et al.	
		US-5,354,309	10/11/1994	Schnepp-Pesch	
		US-5,360,443	11/01/1994	Barone et al.	
		US-5,364,354	11/15/1994	Walker et al.	
		US-5,366,504	11/22/1994	Anderson et al.	
		US-5,370,683	12/06/1994	Fontaine	
		US-5,370,691	12/06/1994	Samson	
		US-5,383,892	01/24/1995	Cardon	
		US-5,383,928	01/24/1995	Scott et al.	
		US-5,387,235	02/07/1995	Chuter	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		FR 2678508	01/08/1993	Celsa LG Societe Anonyme		<input type="checkbox"/>
		DE 3 918 736 A1	12/13/1990	R. Zellentin		<input type="checkbox"/>
		4 303 181 A1 (DE)	08/11/94	Angiomed AG (DE)		<input type="checkbox"/>
		93 19 267.3 (DE)	04/07/94	Dr. Dierk Vorwerk		<input type="checkbox"/>
		PM 1537 (Australia)	04/06/95	Geoffrey H. White		<input type="checkbox"/>
		WO 83/03752	11/10/1983	Hans Wallstén		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 6 of 13

## Complete If Known

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,389,106	02/14/1995	Tower	
		US-5,395,349	03/07/1995	Quiachon et al.	
		US-5,395,390	03/07/1995	Simon et al.	
		US-5,397,345	03/14/1995	Lazarus et al.	
		US-5,405,377	04/11/1995	Cragg	
		US-5,415,664	05/16/1995	Pinchuk	
		US-5,419,324	05/30/1995	Dillow	
		US-5,429,144	07/04/1995	Wilk	
		US-5,443,496	08/22/1995	Schwartz	
		US-5,443,497	08/22/1995	Venbrux	
		US-5,443,498	08/22/1995	Fontaine	
		US-5,456,713	10/10/1995	Chuter	
		US-5,464,449	11/07/1995	Ryan et al.	
		US-5,484,418	01/16/1996	Quiachon et al.	
		US-5,489,295	02/06/1996	Piplani et al.	
		US-5,507,767	04/16/1996	Maeda	
		US-5,507,769	04/16/1996	Marin et al.	
		US-5,507,771	04/16/1996	Gianturco	
		US-5,522,880	06/04/1996	Barone et al.	
		US-5,540,712	07/30/1996	Kleshinski et al.	
		US-5,562,724	10/08/1996	Vorwerk et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 91/07928	06/13/1991	M.T. Rothman		<input type="checkbox"/>
		WO 92/00043	01/09/1992	Schneider (USA) Inc.		<input type="checkbox"/>
		WO 92/06734	04/30/1992	Ho Young Song		<input type="checkbox"/>
		WO 93/13825	07/22/1993	Munehiro Maeda et al.		<input type="checkbox"/>
		WO 94/17754	08/18/1994	Schnepp-Pesch		<input type="checkbox"/>
		WO 95/01761	01/19/1995	Dr. T.J. Fogarty		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 7 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,562,726	10/08/1996	Chuter	
		US-5,562,727	10/08/1996	Turk et al.	
		US-5,562,728	10/08/1996	Lazarus et al.	
		US-5,571,170	11/05/1996	Palmaz et al.	
		US-5,575,817	11/19/1996	Martin	
		US-5,609,605	03/11/1997	Marshall et al.	
		US-5,609,627	03/11/1997	Goicoechea et al.	
		US-5,628,783	05/13/1997	Quiachon et al.	
		US-5,632,772	05/27/1997	Alcime	
		US-5,639,278	06/17/1997	Dereume et al.	
		US-5,645,559	07/08/1997	Hachtman et al.	
		US-5,653,743	08/05/1997	Martin	
		US-5,662,675	09/02/1997	Polanskyj Stockert et al.	
		US-5,676,697	10/14/1997	McDonald	
		US-5,683,448	11/04/1997	Cragg	
		US-5,683,450	06/05/1995	Goicoechea et al.	
		US-5,693,086	06/05/1995	Goicoechea et al.	
		US-5,697,970	12/16/1997	Schmitt	
		US-5,716,365	06/05/1995	Goicoechea et al.	
		US-5,718,724	02/17/1998	Goicoechea et al.	
		US-5,725,572	03/10/1998	Lam	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 95/08966	04/06/1995	G.H. White		<input type="checkbox"/>
		WO 95/16406	06/22/1995	William Cook Europe A/S		<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 8 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,776,180	06/05/1995	Goicoechea et al.	
		US-5,800,456	09/01/1998	Maeda et al.	
		US-5,800,508	06/05/1995	Goicoechea et al.	
		US-5,824,039	10/20/1998	Piplani et al.	
		US-5,824,042	10/20/1998	Lombardi	
		US-5,871,536	02/16/1999	Lazarus	
		US-5,876,432	03/02/1999	Lau et al.	
		US-5,916,263	06/29/1999	Goicoechea et al.	
		US-5,938,696	08/17/1999	Goicoechea et al.	
		US-6,051,020	04/18/2000	Goicoechea et al.	
		US-6,102,938	08/15/2000	Evans et al.	
		US-6,117,167	09/12/2000	Goicoechea , et al.	
		US-6,129,756	10/10/2000	Kugler et al.	
		US-6,156,063	12/05/2000	Douglas	
		US-6,159,239	12/12/2000	Greenhalgh	
		US-6,162,246	12/19/2000	Barone	
		US-6,165,213	12/26/2000	Goicoechea	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 9 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,197,049	03/06/2001	Shaolian	
		US-6,200,339	03/13/2001	Leschinsky , et al.	
		US-6,210,435	04/03/2001	Piplani et al.	
		US-6,251,133	06/26/2001	Richter et al.	
		US-6,251,134	06/26/2001	Alt et al.	
		US-6,261,316	07/17/2001	Shaolian , et al.	
		US-6,273,909	08/14/2001	Kugler et al.	
		US-6,287,335	09/11/2001	Drasler et al.	
		US-6,302,906	10/16/2001	Goicoechea et al.	
		US-6,312,462	11/06/2001	McDermott , et al	
		US-6,325,819	12/04/2001	Pavcnik , et al.	
		US-6,325,826	12/04/2001	Vardi et al.	
		US-6,331,190	12/18/2001	Shokoohi , et al.	
		US-6,334,869	01/01/2002	Leonhardt , et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)

SHEET 10 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,344,056	02/05/2002	Dehdashtian	
		US-6,348,066	02/19/2002	Pinchuk et al.	
		US-6,395,022	05/28/2002	Piplani et al.	
		US-6,398,807	06/04/2002	Chouinard et al.	
		US-6,409,750	06/25/2002	Hyodoh et al.	
		US-6,409,756	06/25/2002	Murphy	
		US-6,416,542	07/09/2002	Marcade et al.	
		US-6,428,565	08/06/2002	Wisselink	
		US-6,454,795	09/24/2002	Chuter	
		US-6,464,721	10/15/2002	Marcade et al.	
		US-6,485,524	11/26/2002	Strecker	
		US-6,517,572	02/11/2003	Kugler et al.	
		US-6,540,777	04/01/2003	Stenzel	
		US-6,551,350	04/22/2003	Thornton et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 12 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		U.S. App. 08/051,728	<input type="checkbox"/>
		Video cassette allegedly showing operation conducted by Geoffrey H. White on November 26, 1993	<input type="checkbox"/>
		Declaration of Geoffrey H. White executed February 18, 2000	<input type="checkbox"/>
		Declaration of Ian L. Gordon executed June 17, 2000	<input type="checkbox"/>
		Declaration of W. Davis dated February 28, 2000	<input type="checkbox"/>
		Declaration of Mark Dehdashtian dated June 16, 2000	<input type="checkbox"/>
		Communication of a Notice of Opposition dated January 26, 2001 for EP 783 873 (including Notice of Opposition)	<input type="checkbox"/>
		Communication of a Notice of Opposition dated January 26, 2001 for EP 783 874 (including Notice of Opposition)	<input type="checkbox"/>
		Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", Technical Developments and Instrumentation, Radiology, Vol. 147, pp. 259-260 (April 1983).	<input type="checkbox"/>
		Schetky, "Shape-Memory Alloys", pp. 74-82	<input type="checkbox"/>
		K. Otsuka et al., "Shape-Memory Alloys-Pseudoelasticity", <u>Metals Forum</u> , Vol. 4, No. 3, pp. 142-152 (1981).	<input type="checkbox"/>
		Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire", Radiology, Vol. 147, No. 1, pp. 261-263 (April 1983).	<input type="checkbox"/>
		Cragg et al., "Percutaneous Arterial Grafting", Radiology, Vol. 150, No. 1, pp. 45-49 (1984).	<input type="checkbox"/>
		T.W. Duerig et al., "An Engineer's Perspective of Pseudoelasticity", pp. 369-393	<input type="checkbox"/>

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 13 of 13

**Complete If Known**

Application Number	10/784,378
Filing Date	February 23, 2004
First Named Inventor	George Goicoechea
Art Unit	3731
Examiner Name	Vy Q. Bui
Attorney Docket No.	BSI-210US9

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Cragg et al., "Stents/Vascular Stents", <u>Interventional Radiology</u> , pp. 686-692 (1990).	<input type="checkbox"/>
		Notice of Opposition by Scimed Life Sciences, Inc. to European Patent No. 0 676 937 B	<input type="checkbox"/>
		Opposition by William Cook Aps to European Patent No. 0 676 937 B	<input type="checkbox"/>
		Verlag, "Interventional Radiology," pp. 692-699 (1990)	<input type="checkbox"/>
		Yoshioka et al., "Self-Expanding Endovascular Graft: An Experimental Study in Dogs," <u>AJR</u> 15: pp. 673-676 (1988)	<input type="checkbox"/>
		Official Action in Canadian Application No. 2,182,982, issued by the Canadian Intellectual Property Office on March 30, 2006	<input type="checkbox"/>
		Office Action of Canadian Intellectual Property Office for Application No. 2,182,982 dated June 14, 2004	<input type="checkbox"/>
		Notice of Reasons of Rejection of Japan Patent Office Application No. H07-521275 dated May 18, 2004	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.